



06/07/01

## UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

Box PATENT APPLICATION

Washington D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of  
 INVENTOR OR APPLICATION IDENTIFIER: Ian Edward DAY  
 FOR: AN ELECTRO-OPTIC DEVICE

Enclosed are:

- |   |   |
|---|---|
| 1. <input checked="" type="checkbox"/> 11 pages of specification, claims  | 7. <input checked="" type="checkbox"/> Assignment Papers for <u>Bookham Technology plc</u><br>(cover sheet, assignment & assignment fee). |
| 2. <input checked="" type="checkbox"/> 8 sheets of FORMAL drawing.  | 8. <input type="checkbox"/> Certified copy of _____.  |
| 3. <input checked="" type="checkbox"/> 2 pages of newly executed Declaration & Power<br>of Attorney (copy or original).   | 9. <input checked="" type="checkbox"/> Two (2) return postcards.  |
| 4. <input checked="" type="checkbox"/> Priority Claimed to United Kingdom Appln.<br>No(s). 0019771.5, whose entire disclosure is incorporated<br>herein by reference. | <input checked="" type="checkbox"/> Stamp & Return with Courier.  |
| 5. <input type="checkbox"/> Applicant claims Small Entity Status.   | <input checked="" type="checkbox"/> Prepaid Postcard-Stamped Filing Date &<br>Returned with Unofficial Serial Number.                     |
| 6. <input type="checkbox"/> Information Disclosure Statement, Form PTO-1449<br>and reference.   |   |
| 10. <input checked="" type="checkbox"/> Authorization under 37 C.F.R. §1.136(a)(3).   | 12. <input checked="" type="checkbox"/> Other: Preliminary Amendment  |
| 11. <input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  |   |

## CLAIMS AS FILED

For	No. Filed		No. Extra	Rate	Fee
Total Claims	20	- 20	0	X \$18.00	0
Indep. Claims	2	- 3	0	X \$80.00	0
Multiple Dependent Claims (If applicable)				X \$270.00	
BASIC FEE					\$710.00
TOTAL FILING FEE					\$710.00

This is a Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--

☒ A check in the amount of \$ 710.00 (Check # 539) is attached.

☐ Please charge my Deposit Account No. 16-0607 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 C.F.R. 1.16.

☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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